

Docket No.: 079-146

JUN 08 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Kesar SALEEM et al. :  
Serial No. 09/800,978 : Group Art Unit: 1753  
Filed: March 8, 2001 : Examiner:  
For: MAGNETRON :

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JUN 18 2001

GROUP 1700

TRANSMITTAL OF CERTIFIED PRIORITY DOCUMENT

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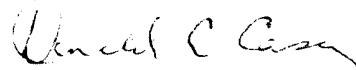
Sir:

At the time of filing the above-identified application, a claim was made to the priority date(s) of the corresponding

British Patent Application No. 0007787.5  
filed March 30, 2000

We now submit the priority document(s) in support of this Claim of Priority.  
Acknowledgment is respectfully requested.

Respectfully submitted,



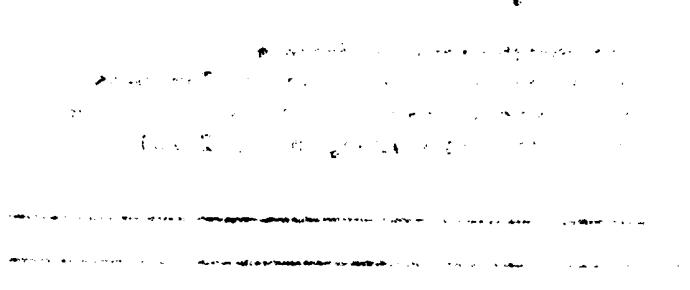
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**Date:** June 7, 2001

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In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

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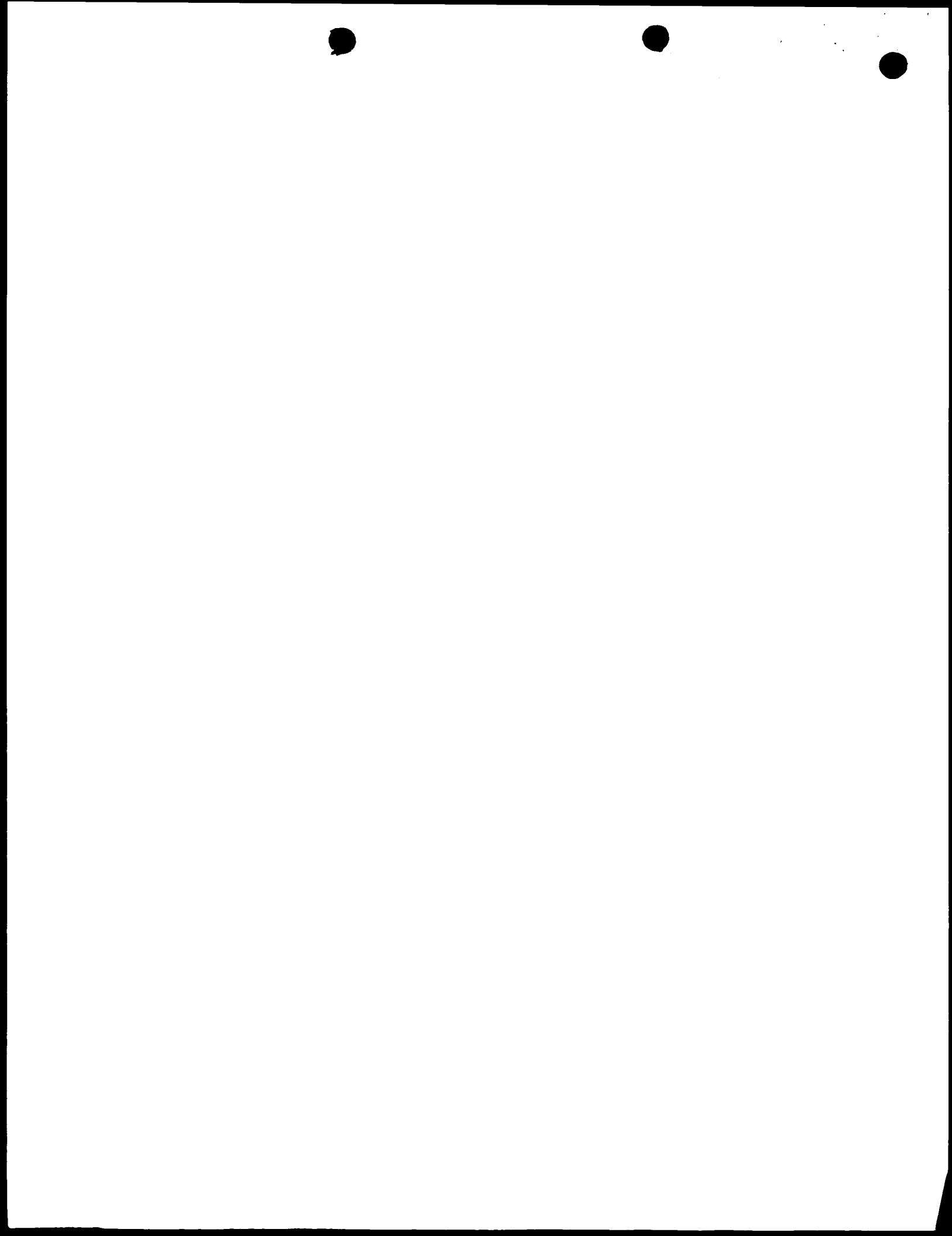
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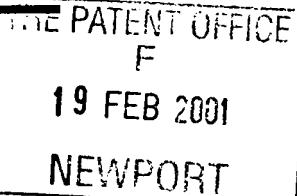
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**GROUP 1**





The Patent Office

Cardiff Road  
Newport  
Gwent NP10 8QQ**Statement of inventorship and of right to grant of a patent**1. Your reference **P/62352.GBA/VMIC**2. Patent application number  
*(if you know it)* **GB 0007787.5**

3. Full name of the or of each applicant

**MARCONI APPLIED TECHNOLOGIES LIMITED**

4. Title of the invention

**MAGNETRONS**

5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent

**BY VIRTUE OF SECTION 39(1) OF THE PATENTS ACT 1977**6. How many, if any, additional Patents Forms 7/77 are attached to this form?  
*(see note (c))***0**7. I/We believe that the person(s) named over the page (*and on any extra copies of this form*) is/are the inventor(s) of the invention which the above patent application relates to.

Signature

Date 13.02.01

**NERYS HUCKER**

8. Name and daytime telephone number of person to contact in the United Kingdom

**NERYS HUCKER 01245 275452****Notes**

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Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

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Patents ADP number (*if you know it*) 04417168001

**MICHAEL BARRY CLIVE BRADY  
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Patents ADP number (*if you know it*) 06102016001

**ALAN HUGH PICKERING  
“WELLBECK”  
PUMP LANE  
SPRINGFIELD  
CHELMSFORD  
ESSEX**

Patents ADP number (*if you know it*)

**Reminder**

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31MAR00 E525961-3 C06256  
P01/7700 0.00-0007787.5

## **Request for grant of a patent**

*(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)*

**The Patent Office**  
Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference P/62352.GBA/VMIC

0007787.5

30 MAR 2000

3. Full name, address and postcode of the or of each applicant (*underline all surnames*)  
Patents ADP number (*if you know it*)  
If the applicant is a corporate body, give the country/state of its incorporation

**Marconi Applied Technologies Limited  
One Bruton Street  
London  
W1X 8AQ  
United Kingdom**

C7803S13001 TR

4. Title of the invention

## Magnetrons

5. Name of your agent (*if you have one*)  
"Address for service" in the United Kingdom  
to which all correspondence should be sent  
(*including the postcode*)

**G. Cockayne  
Marconi Intellectual Property  
Waterhouse Lane, Chelmsford,  
Essex CM1 2QX**

Patents ADP number (*if you know it*)

1009133003

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (*if you know it*) the or each application number

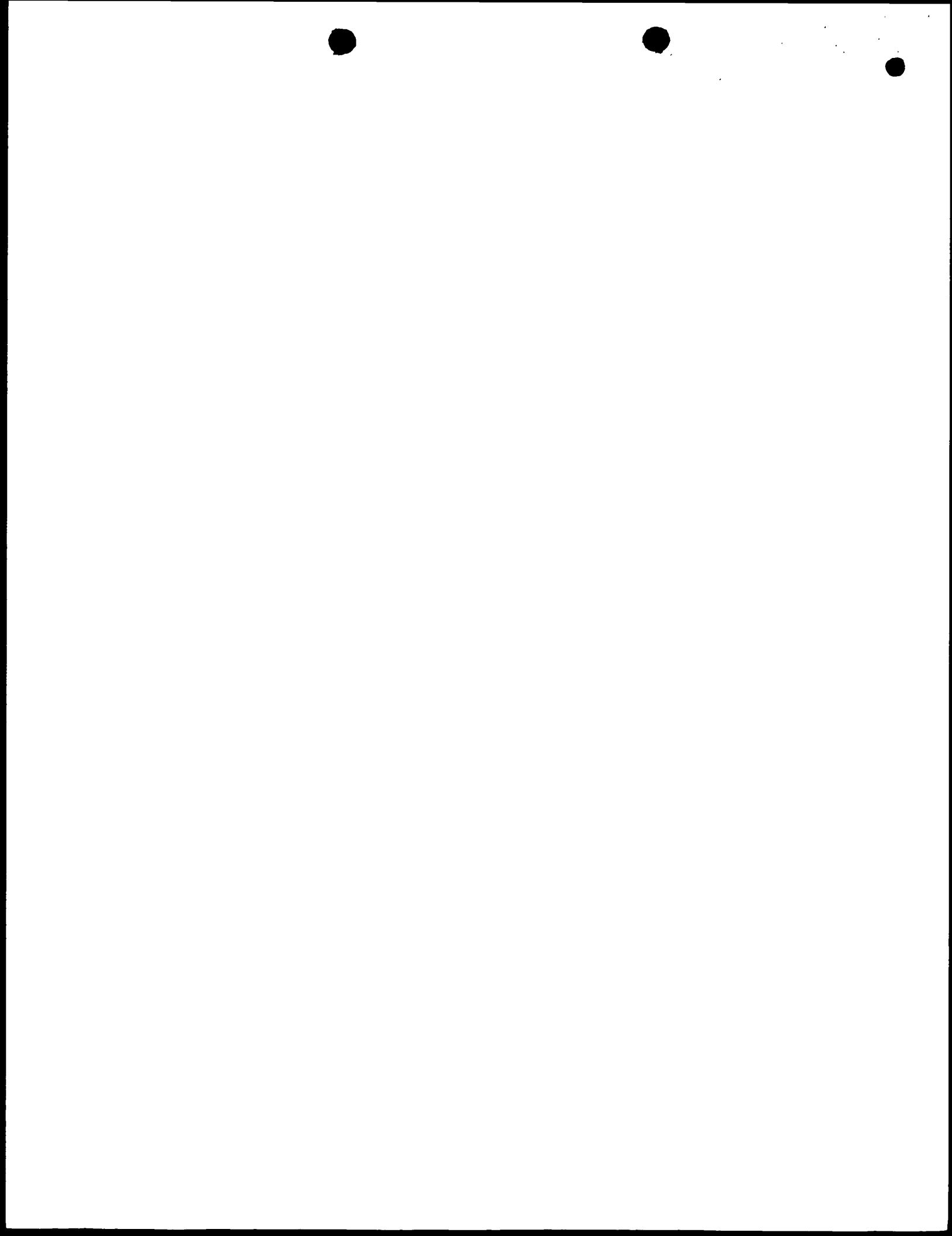
**Country**      **Priority application number**      **Date of filing**  
*(if you know it)*      *(day / month / year)*

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
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See note (d)



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Continuation sheets of this form

Description 10

Claim(s) 4

Abstract

Drawings(s)

11

10. If you are also filing any of the following, state how many against each item.

Priority documents (-)

Translations of priority documents (-)

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*) (-)

Request for preliminary examination and search (*Patents Form 9/77*) (1)

Request for substantive examination (*Patents Form 10/77*) (-)

Any other documents (-)  
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date 29 March 2000

12. Name and daytime telephone number of person to contact in the United Kingdom

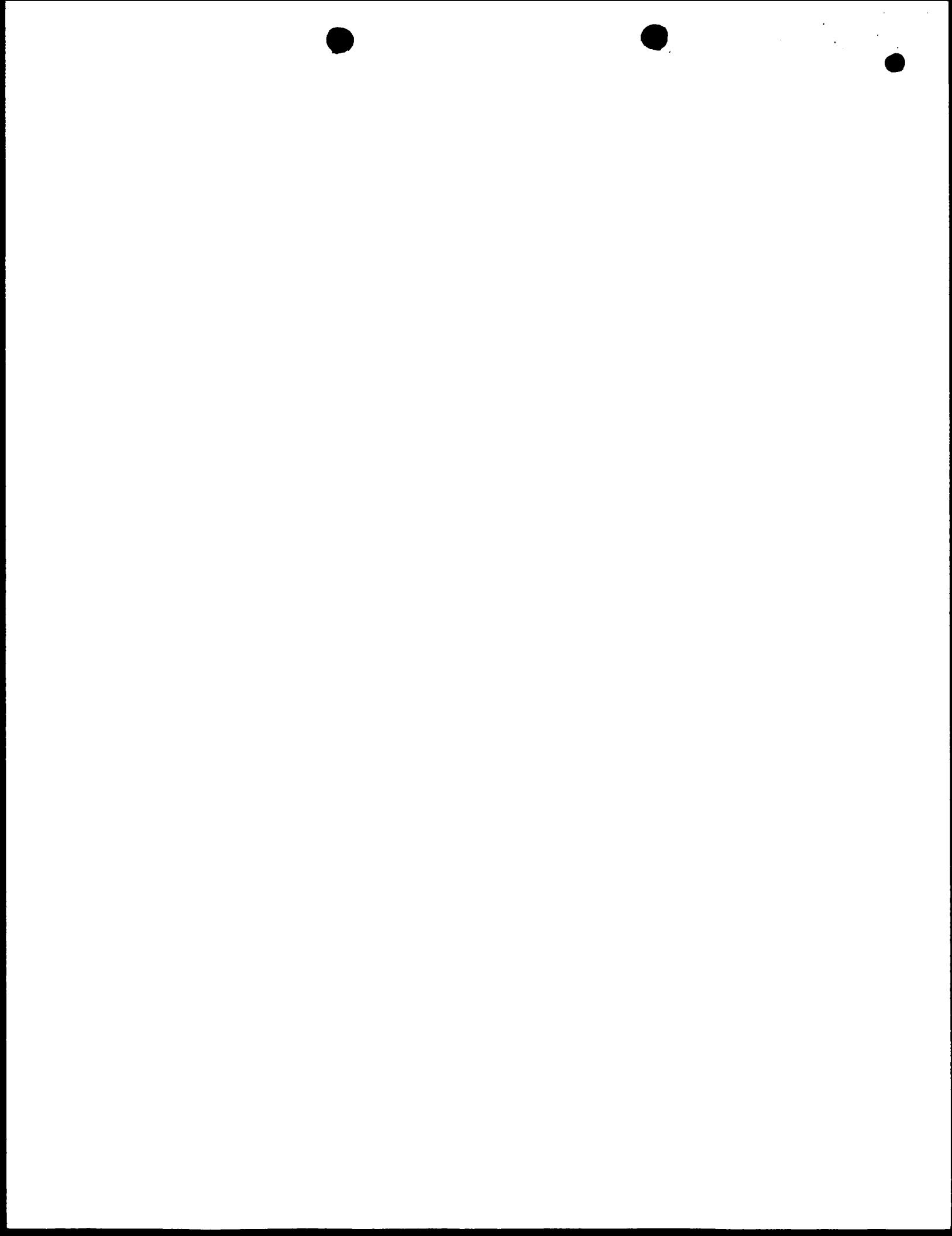
Gillian Cockayne - 01245 575459

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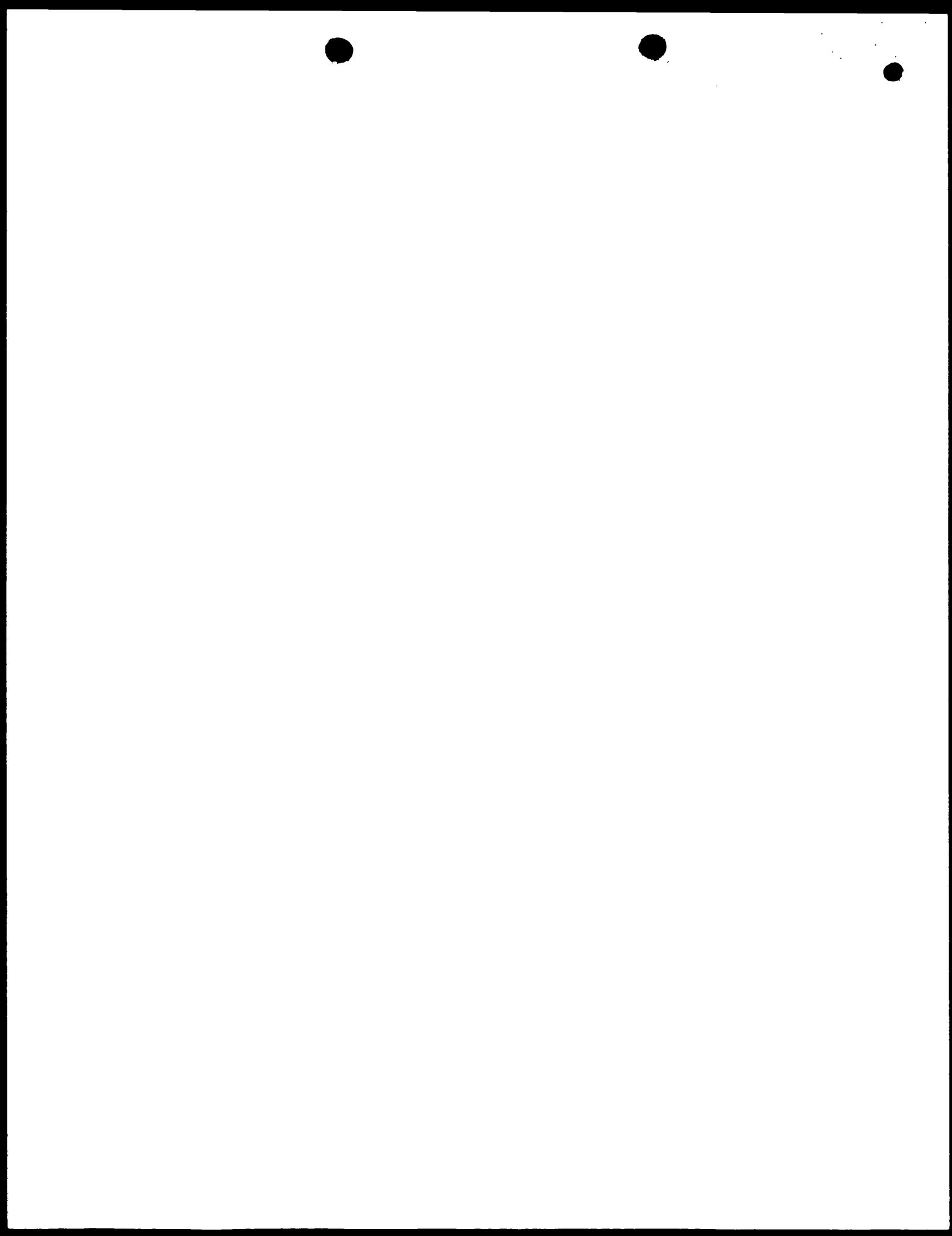


Magnetrons

This invention relates to magnetrons and more particularly, but not exclusively, to magnetrons operating at high power levels.

In one known magnetron design, a central cylindrical cathode is surrounded by an anode structure which typically comprises a conductive cylinder supporting a plurality of anode vanes extensive inwardly from its interior surface. During operation, a magnetic field is applied in a direction parallel to the longitudinal axis of the cylindrical structure and, in combination with the electrical field between the cathode and anode, acts on electrons emitted by the cathode, resulting in resonances occurring and the generation of r.f. energy. A magnetron is capable of supporting several modes of oscillation depending on coupling between the cavities defined by the anode vanes, giving variations in the output frequency and power. One technique which is used to constrain a magnetron to a particular operating mode is that of strapping. To obtain and maintain the  $\pi$  mode of operation, which is usually the mode which is required, alternate anode vanes are connected together by straps. Typically, two straps are located at each end of the anode or in another arrangement, for example, there may be three straps at one end of the anode and none at the other.

In another approach for selecting the mode of oscillation, the magnetron is designed such that the frequency of the  $\pi - 1$  mode is below cut-off. The magnetron is taken through the cut-off level very quickly so that there is insufficient power generated in the unwanted mode to produce significant oscillations which would otherwise result in power loss from the main desired mode.

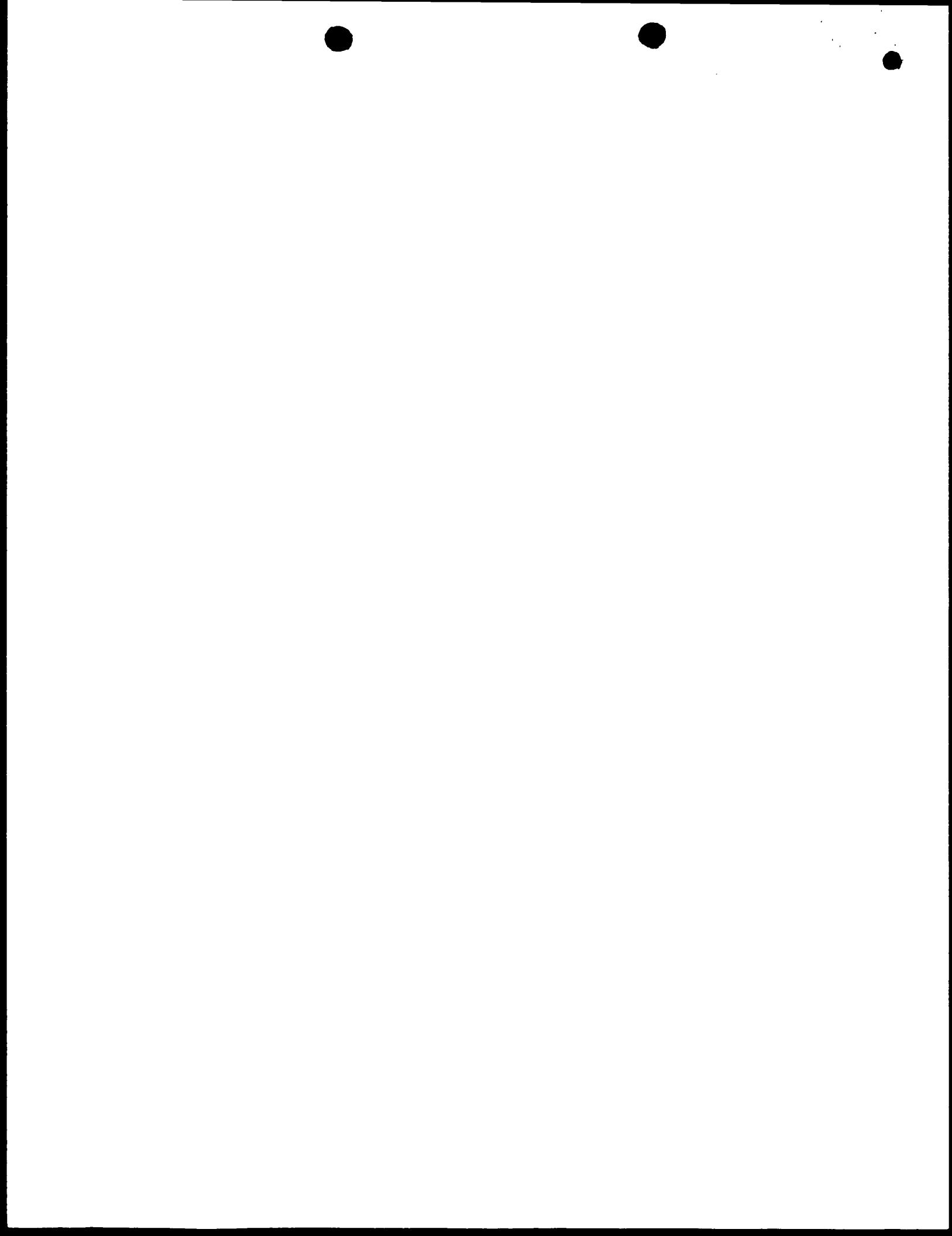


However, in some magnetrons, oscillations may occur simultaneously in the desired  $\pi$  mode and also in the unwanted  $\pi - 1$  mode despite the use of strapping, resulting in frequency instability and power being lost from the  $\pi$  mode to the  $\pi - 1$  mode.

The invention is particularly applicable to magnetrons operating at high power levels, at 1MW or greater, and to magnetrons having a long anode in which it is difficult to achieve the required mode separation. The invention may also be advantageously used in other magnetrons not having these features.

According to the invention, there is provided a magnetron comprising: an anode having resonant cavities and coaxially arranged with a cathode about a longitudinal axis; output means including a coaxial line configured to receive energy in one oscillator mode and transmit it as a coaxial waveguide mode and to receive energy in another oscillator mode and transmit as a cylindrical waveguide mode; and means for at least reducing onward transmission of energy in the cylindrical waveguide mode.

Use of the invention enables energy in the undesired oscillator mode to be removed from the resonant cavities in addition to the energy in the desired mode and subsequently separated from the desired mode energy. Thus, power in the unwanted oscillator mode within the magnetron is reduced, tending to enhance operation in the desired mode and improving frequency stability and power output. The invention is particularly advantageously applied where the anode is long, for example, where the anode has an axial length of greater than half wavelength, where  $\lambda$  is the operating wavelength. For such long anodes, conventional



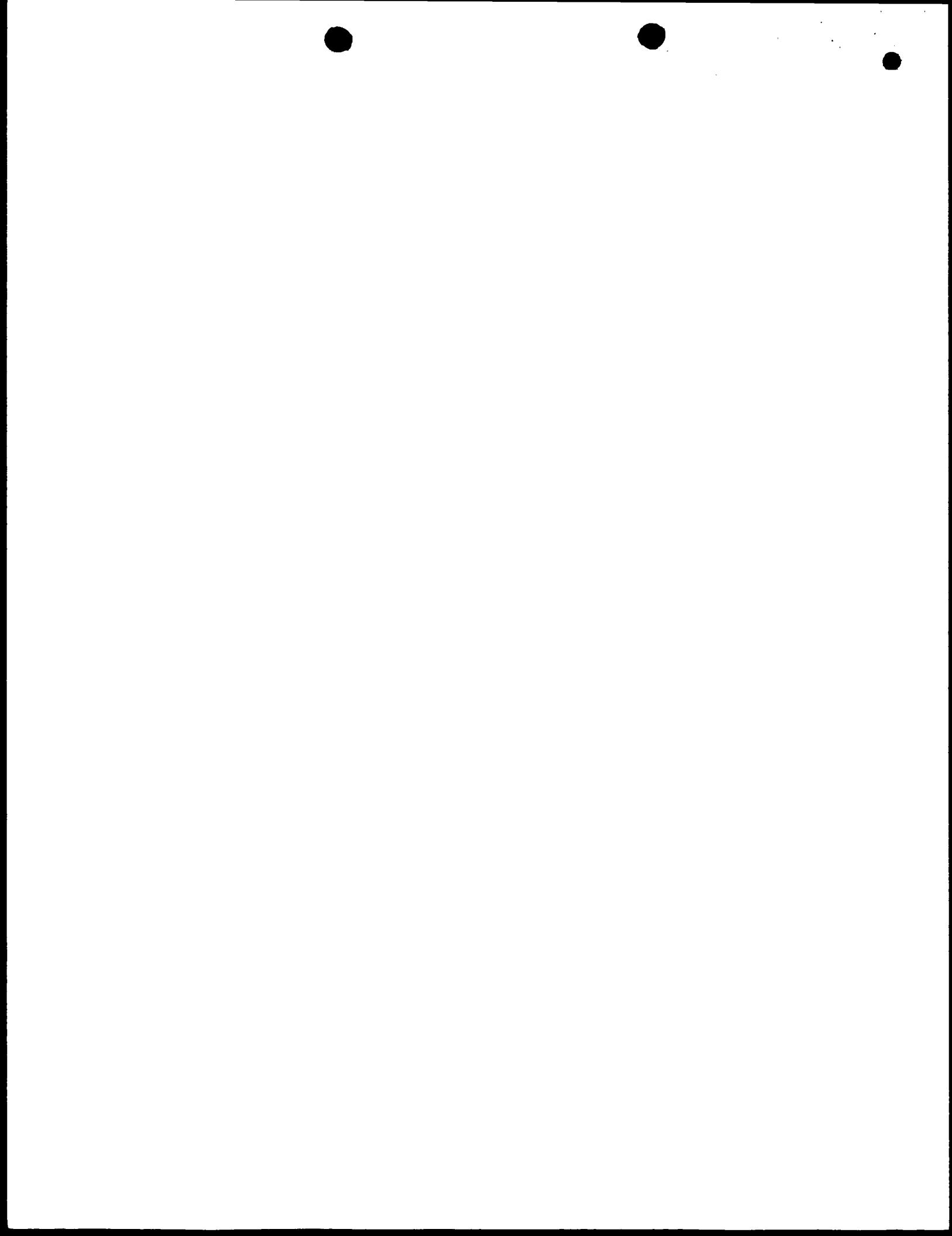
strapping at the ends of the anode may be ineffective in maintaining the required mode separation. In addition, because a long anode allows high power levels to be achieved, in the absence of the invention significant amounts of energy would exist in the unwanted oscillator mode reducing power output in the wanted mode.

The invention may be advantageously employed in magnetrons of different designs, for example, the anode need not be of the vane type.

Preferably, power is coupled from the magnetron in an axial direction. This gives a symmetrical output. In one arrangement, a cylindrical wall is located at the end of the anode and fingers are extensive between the wall and alternate anode vanes to permit the  $\pi$  mode to be extracted.

Advantageously, the coaxial line has at least one axially extensive slot through its outer conductor via which energy in the cylindrical waveguide mode is coupled from the coaxial line. In a coaxial waveguide mode, the voltage is radial and the current travels in an axial direction whereas in a cylindrical waveguide mode, the currents are circumferential. Thus, the use of an axially extensive slot will not interfere with power transmission in the coaxial waveguide mode but will intercept current in the cylindrical waveguide mode.

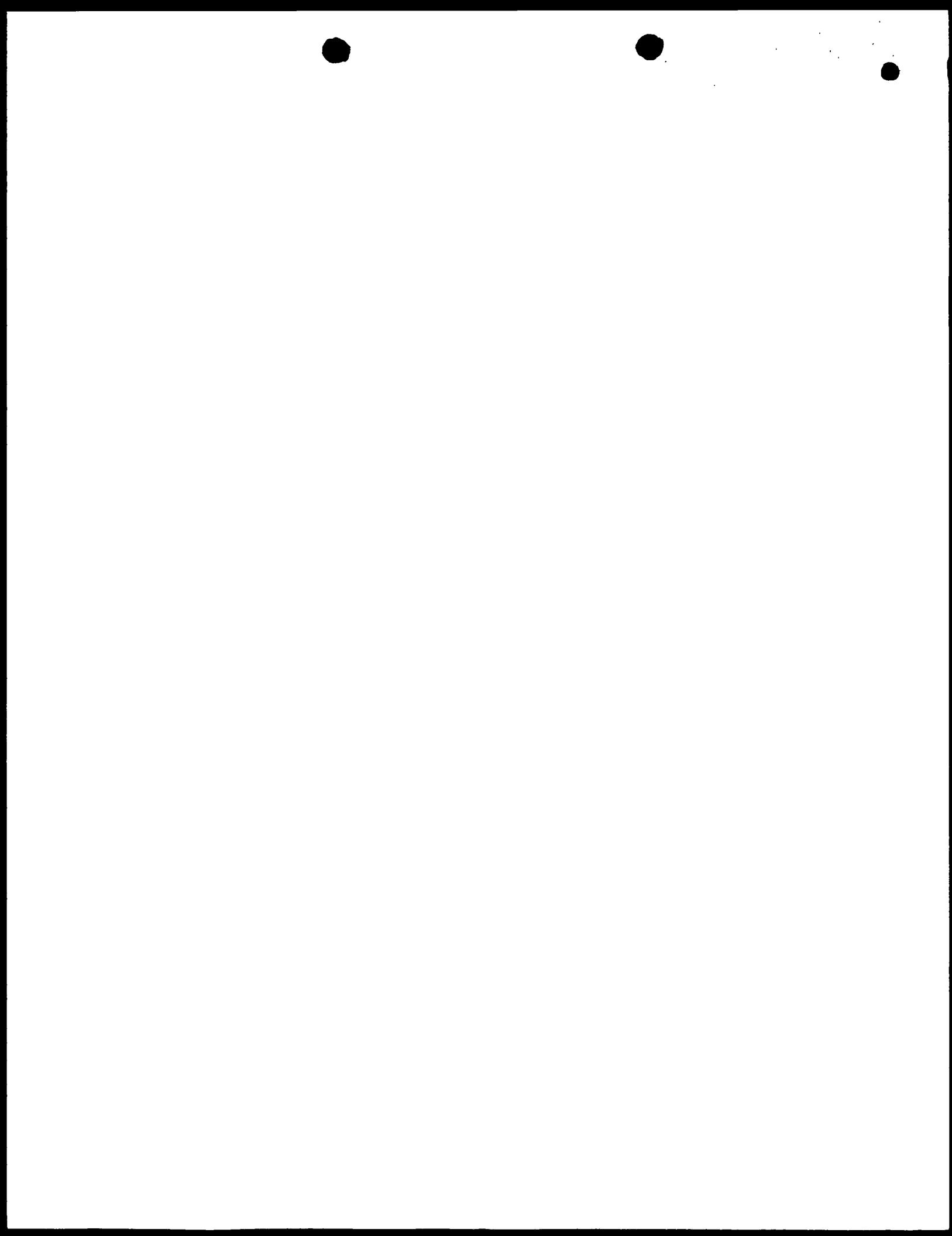
Advantageously, radiation absorbing material is located at said at least one slot to absorb energy radiated by the slot. Only one slot may be provided but it has been found that four located equidistantly around the outer conductor and located at the same position along the axis give particularly good performance. In one embodiment, the absorbing material is porous alumina impregnated with carbon. Longer slots tend to give greater energy absorption



and a larger mass of absorbing material may be used to give greater capacity for absorption.

Preferably, the said one oscillator mode is the  $\pi$  mode and said another oscillator mode is the  $\pi - 1$  mode. Also it is preferred that the coaxial waveguide mode is the TEM mode and the cylindrical waveguide mode is the  $TE_{11}$  mode. The dimensions of the coaxial line are selected such that it supports both of these waveguide modes. For the  $TE_{11}$  mode, the cut off wavelength is equal to  $\pi$  multiplied by the sum of the inner conductor diameter and the inner diameter of the outer conductor, the cut off wavelength being equal to or greater than the free space wavelength.

In an advantageous embodiment, there is included at least one axially extensive reflector slit in the output means for reflecting energy from said another oscillator mode back towards the resonant cavity. Thus energy in the cylindrical waveguide mode is coupled back to the resonant cavities. The reflector slits have no effect on the  $\pi$  mode as it is transmitted in the TEM mode in which the currents flow axially. However, the  $\pi - 1$  mode couples to the coaxial line in the  $TE_{11}$  mode having circumferential currents which are affected by the reflector slit or slits. By appropriately selecting the length and location of the slits, some of the  $TE_{11}$  mode is reflected in a reverse direction along the coaxial line at a phase and magnitude determined by the slit geometries, increasing its coupling to the  $\pi - 1$  mode in the anode. This gives increased loading of the  $\pi - 1$  mode, resulting in more stable operation of the magnetron, permitting it to operate over a wider range of input conditions and to be more tolerant of output and input conditions.

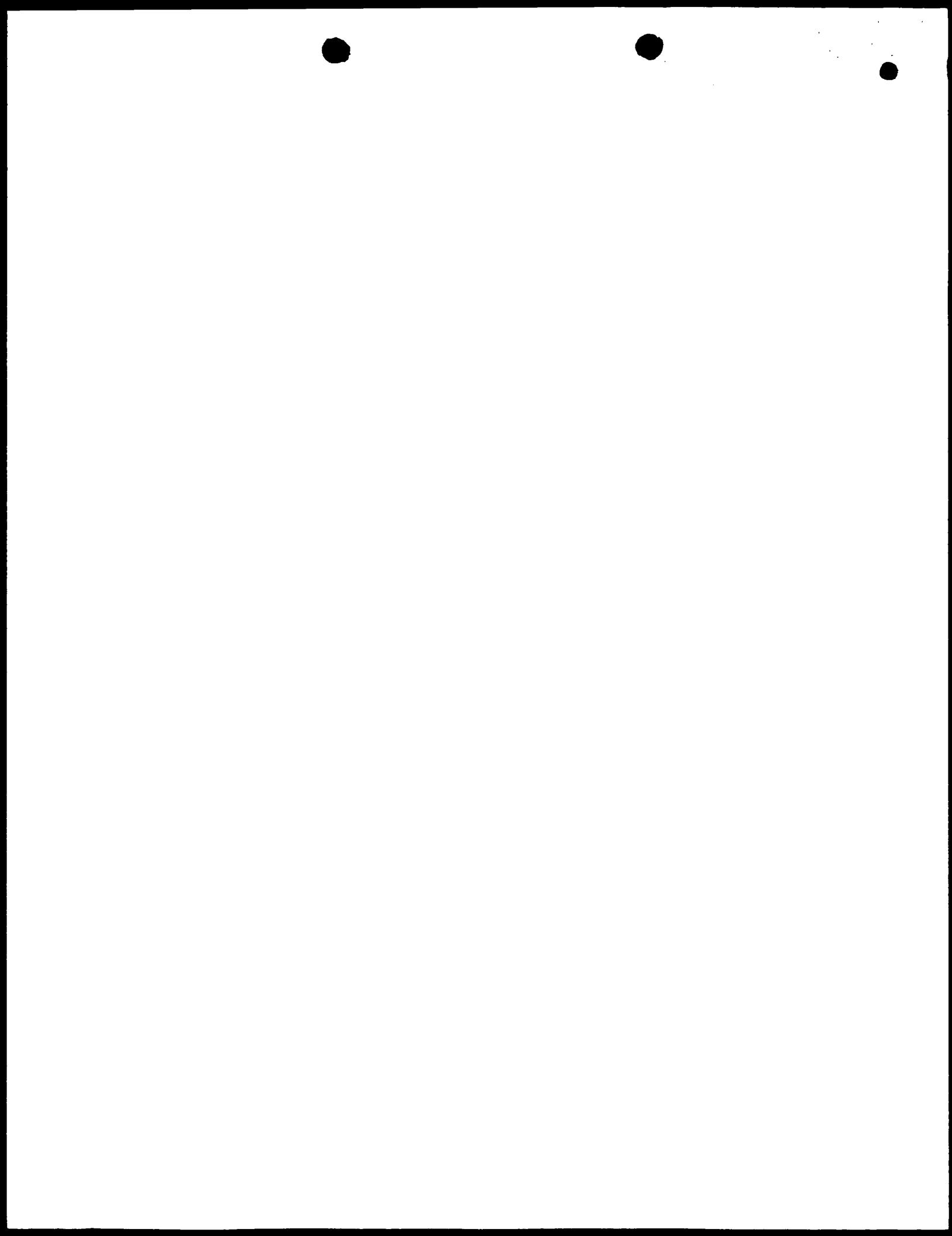


The reflector slit or slits may be in the outer conductor of the coaxial line, the inner conductor or in both. Where the slits are in the inner conductor of the coaxial line, in one preferred arrangement, the slit is extensive through the inner conductor, that is, it extends from one surface to the other. Advantageously, there are two reflector slits in the inner conductor which are both extensive therethrough and which intercept. In one embodiment, a reflector slit or slits may be located such that they are located partially or wholly in a region between the resonant cavities and the end of the coaxial line nearest the anode.

A magnetron in accordance with the invention may include a waveguide to which the coaxial line is arranged to deliver energy. The coaxial line may terminate in a T probe although alternative types of termination may be suitable.

Preferably, the coaxial line includes a discontinuity which at least reduces transmission along the coaxial line of energy reflected from the waveguide back towards the anode in a cylindrical mode. Thus, the coaxial line is dimensioned along its length to support both coaxial and cylindrical waveguide modes, but its dimensions change at the termination so as to block transmission in the reverse direction of energy in the cylindrical waveguide mode.

In one magnetron in accordance with the invention, the coaxial line is designed such that both the TEM and the TE<sub>11</sub> modes, say, can coexist. If the transition from the coaxial line to the waveguide is not perfect, some of the TEM power is reflected by the transition and, due to the transition's asymmetrical shape, is converted into the TE<sub>11</sub> mode and transmitted in the reverse direction back towards the magnetron anode along the coaxial line. In a



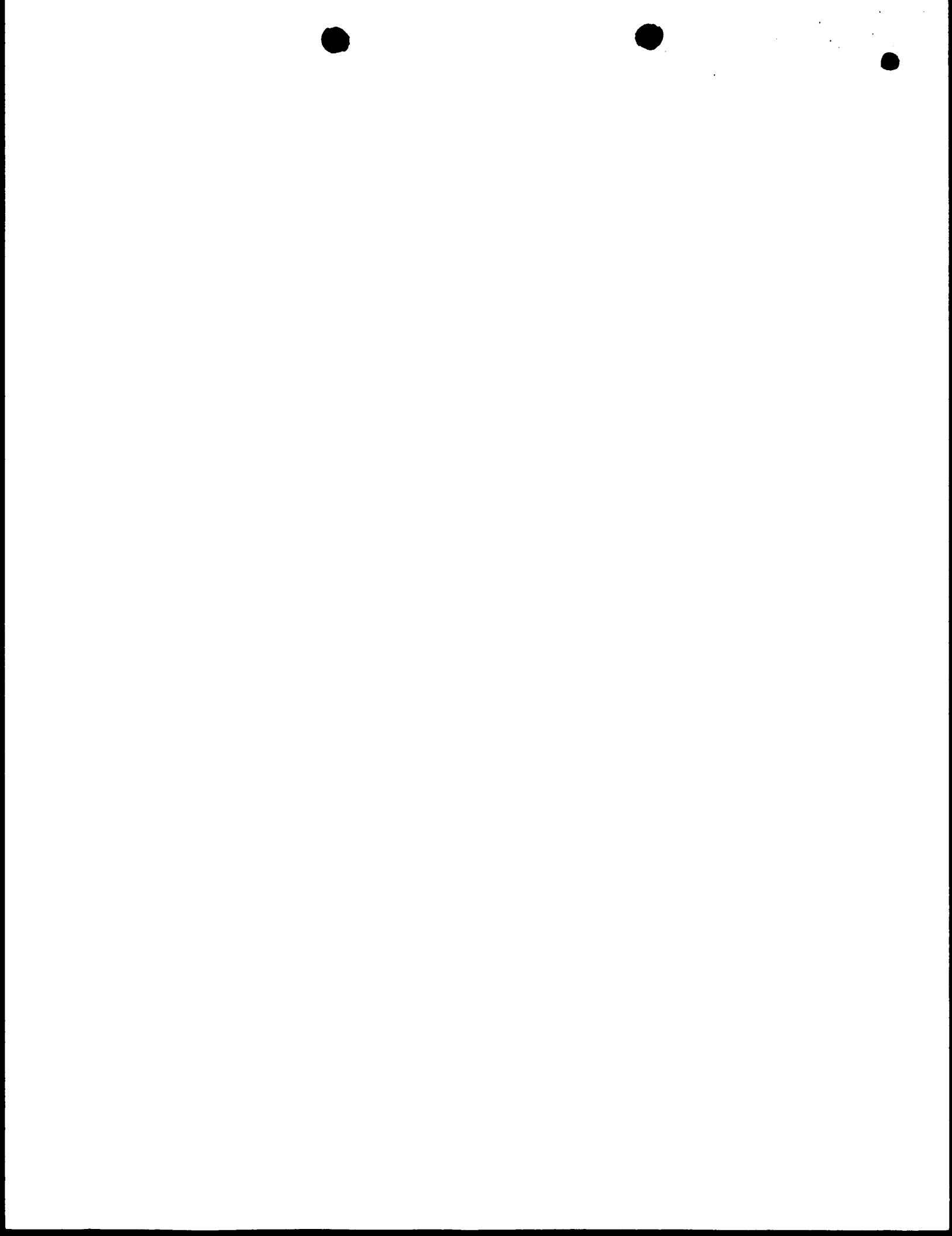
magnetron in which energy absorbing material is arranged to intercept power in the cylindrical mode, reflected output power might also be absorbed in the attenuator material causing the material to heat up and reducing overall efficiency of the magnetron. However, the inclusion of a discontinuity prevents power in the cylindrical mode being transmitted in reverse direction along the coaxial line as it is re-reflected at the discontinuity and transmitted along the output in a forwards direction. Preferably the discontinuity is located between the radiation absorbing material and the transition. Thus, the absorbing material is prevented from being heated by the output power of the magnetron to such an extent that it may give off gas and potentially destroy or reduce the life of the magnetron.

The invention is particularly advantageous for use with high power magnetrons, for example an X-band linac magnetron.

One way in which the invention may be performed is now described by way of example with reference to the accompanying drawings in which:

Figure 1 is a schematic longitudinal section of a magnetron in accordance with the invention; Figure 2 is a schematic transverse section along the line I-I of Figure 1; and Figures 3 and 4 are explanatory diagrams relating to the operation of the magnetron shown in Figure 1.

With reference to Figure 1, a magnetron includes a cathode 1 coaxially surrounded by a cylindrical anode 2 arranged along longitudinal axis X-X. The anode 2 is of the vane type, having a plurality of inwardly projecting vanes, two of which 3 and 4 which together define



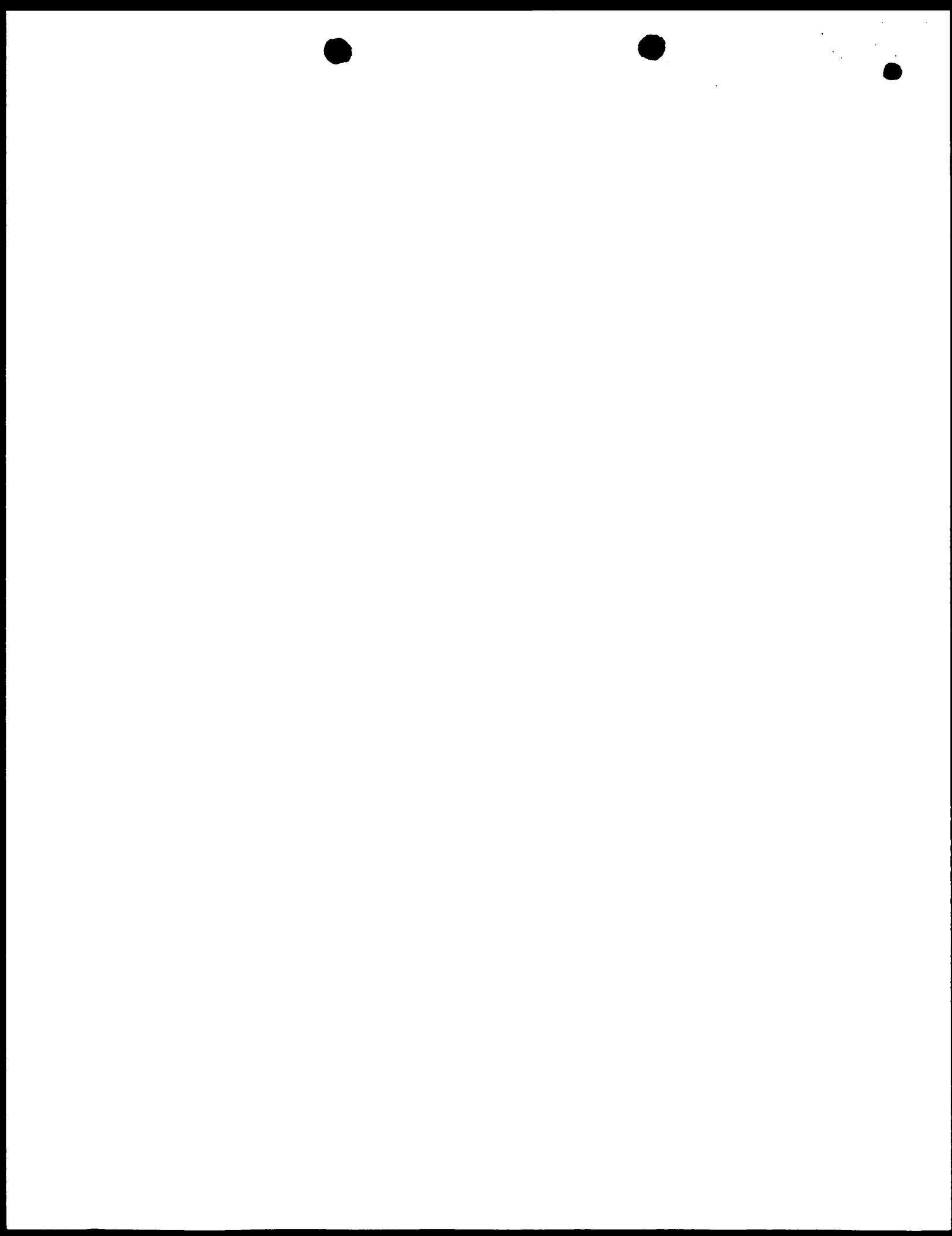
resonant cavities. Straps 5 are included to improve mode separation and stability and in this particular embodiment are distributed along the axis of the anode in accordance with the arrangement described in our co-pending application GB 9930109.5 rather than the conventional arrangement in which straps are only provided at the ends of the anode.

The cathode 1 is in contact with a heater 6 located inside it to which an electrical connection is made via heater lead 7 which is aligned with the axis X-X. The required cathode potential is applied via a tube 8 which surrounds the heater lead 7..

Iron pole pieces 9 and 10 are arranged to produce an axial magnetic field in the region between the cathode 1 and anode 2.

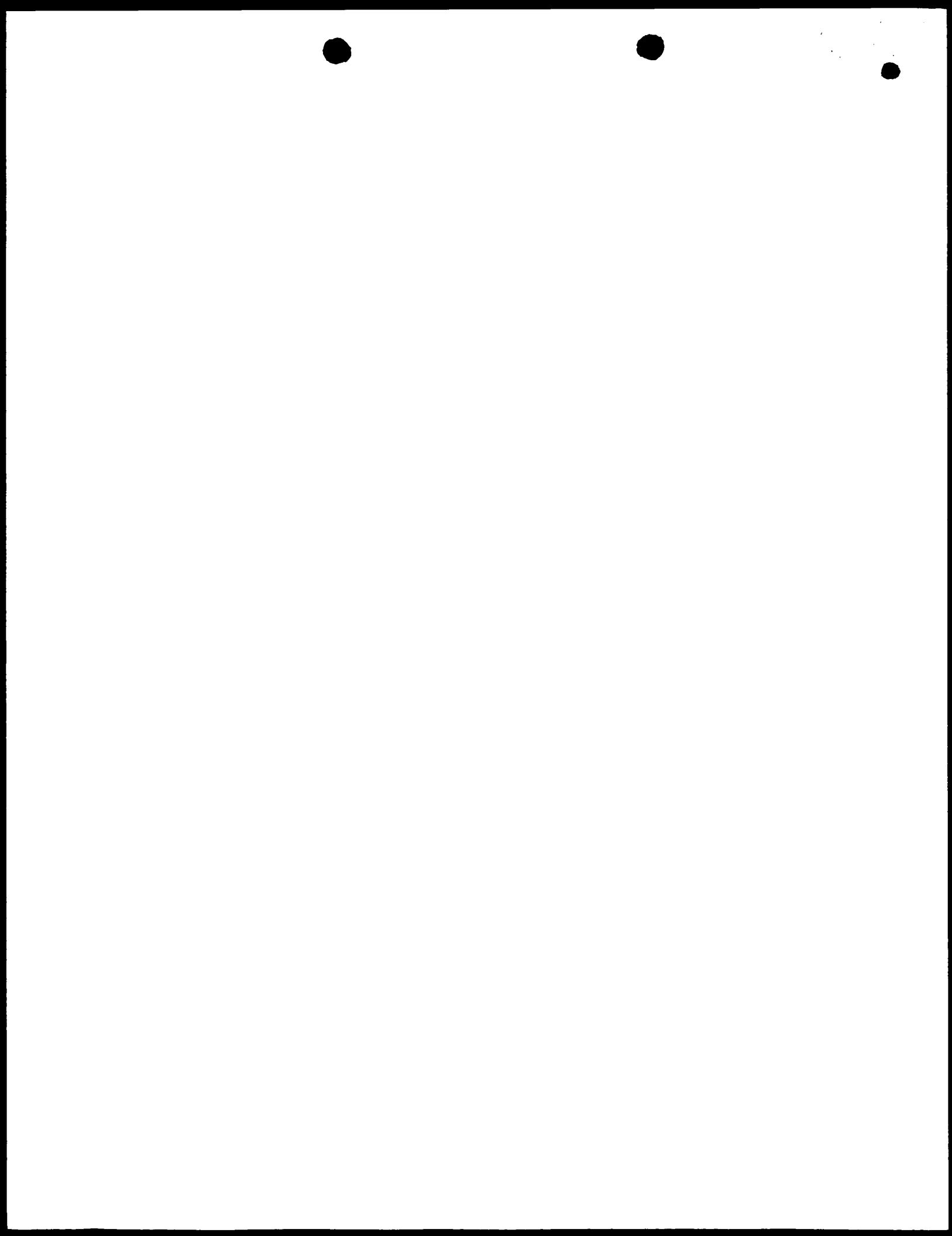
The output of the magnetron is coupled in an axial direction from the bottom of the anode 2 as viewed. Alternate anode vanes are connected via fingers, two of which 11 and 12 are shown, to a plate 13. The plate 13 is connected to a conductive member which forms the inner conductor 14 of a coaxial output line 15. The outer conductor 16 of the coaxial line is defined by a copper member which is located in a recess in one of the pole pieces 10. The outer conductor 16 has four equidistant slots, two of which 17 and 18 are shown, which extend through the outer conductor 16. A cylindrical attenuator 19 of radiation absorbing material , which in this case is carbon impregnated alumina, surrounds the outer conductor 16. The end of the coaxial line 15 terminates in a T probe 20 which projects into a rectangular waveguide 21.

During operation of the magnetron, oscillations are generated in the resonant cavities



in the anode and energy is generated in the  $\pi$  and  $\pi$ -1 oscillator modes. Energy in the  $\pi$  mode is coupled into the coaxial output line 15 via the fingers 11 and 12, the coaxial line 15 having dimensions such that the  $\pi$  mode energy is transmitted along it in the TEM coaxial waveguide mode. The coaxial line 15 is dimensioned so that it is also able to support and transmit energy from the  $\pi$  oscillator mode in a cylindrical waveguide mode, the  $TE_{11}$  waveguide mode. Figure 3 illustrates the TEM mode in which the direction of the currents is shown by the broken lines and that of the electric field by the solid line. Figure 4 shows the current and electric fields for the  $TE_{11}$  mode. As can be seen, in the TEM mode, the currents travel in an axial direction and thus transmission of energy along the coaxial line 15 in the TEM mode is not affected by the presence of the axially extensive slots 17 and 18 in the outer conductor 16. In contrast to this, currents in the  $TE_{11}$  mode travel in the inner and outer conductors in a circumferential direction. The circumferential currents are intercepted by the slots 17 and 18, resulting in energy being coupled therethrough and being radiated towards the absorbing material 19. By this mechanism, energy is transmitted along the coaxial line 15 in both the TEM and  $TE_{11}$  modes but energy in the  $TE_{11}$  mode is absorbed such that the amount transmitted is reduced or it is completely attenuated. Thus the energy coupled into the waveguide 21 by the probe 20 is substantially only that which was generated in the  $\pi$  mode oscillation. The output energy is transmitted in the direction shown by the arrow along the waveguide 21.

The asymmetric nature of the transition 20 results in some of the TEM mode energy being reflected and re-transmitted along the coaxial line 15 in a reverse direction towards the anode 2, being converted to a  $TE_{11}$  mode on reflection. A discontinuity 22, which in this case comprises a reduction in diameter of both the inner conductor and the outer conductor,

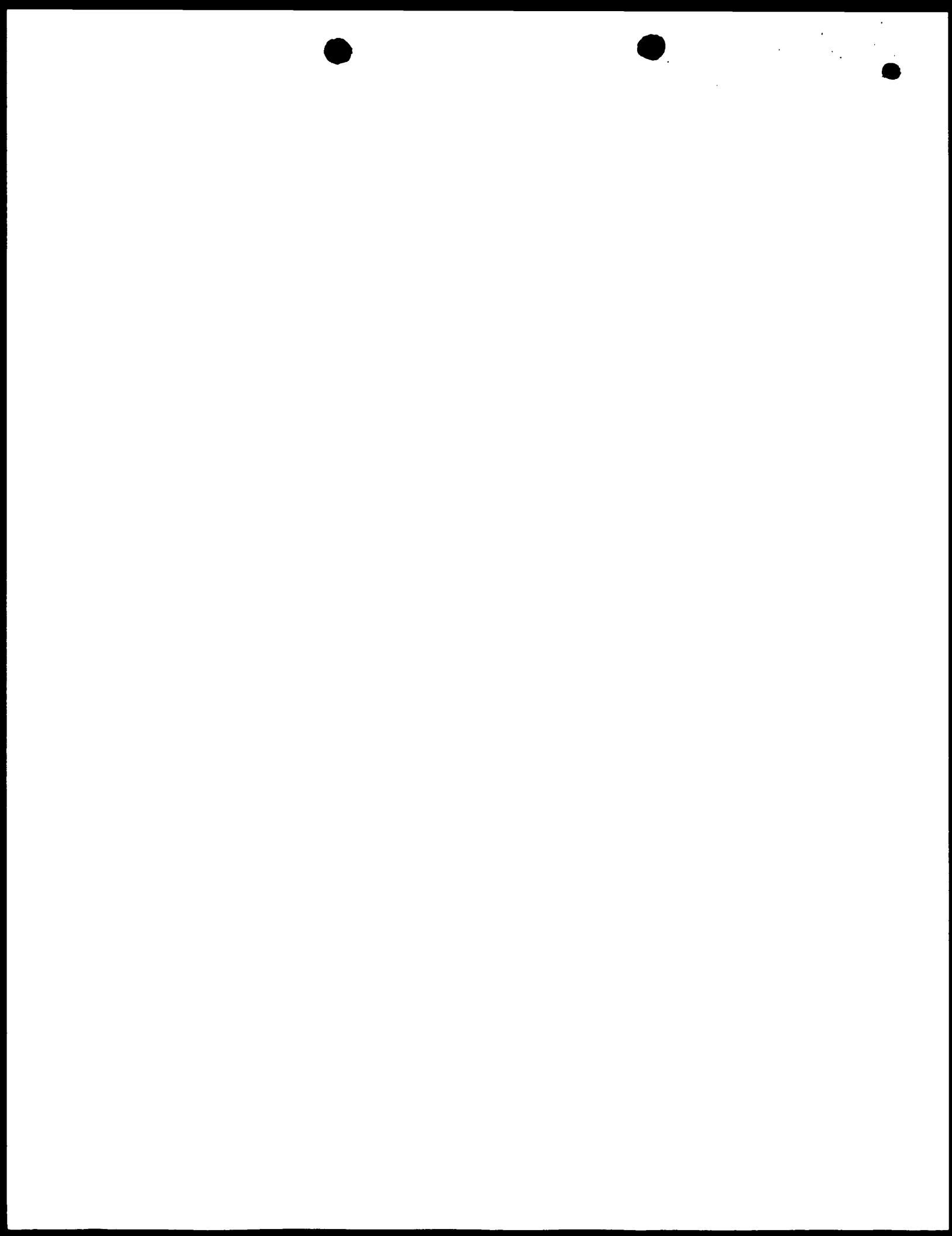


ensures that energy in the TEM mode that is converted to energy in the TE<sub>11</sub> mode cannot travel beyond the discontinuity 22. Thus it does not impinge on the absorbing material 19 and add to the energy which it must absorb.

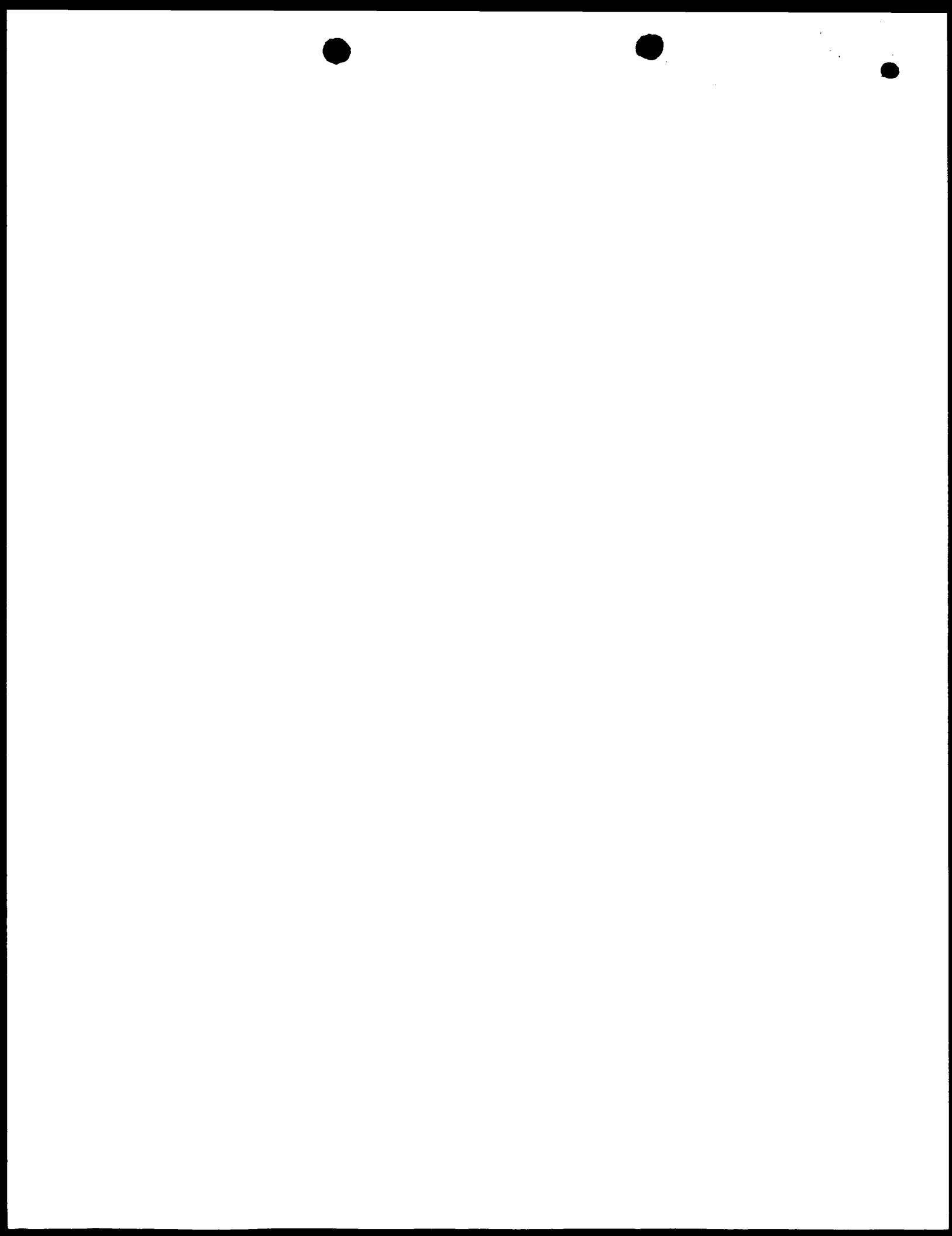
The inner conductor 14 also includes two slits 23 and 24 arranged orthogonal to one another and extensive across the diameter of the conductor 14 from one surface to the other. These slits 23 and 24 reflect energy in the TE<sub>11</sub> mode, energy in the TEM mode being unaffected because of the current directions for this mode are axial. Thus, some of the TE<sub>11</sub> energy is reflected back from the slits 23 and 24 towards the resonant cavities, increasing the mode loading of the  $\pi$ -1 mode and increasing the stability of the magnetron output frequency.

In addition to the coaxial line 15 included in the output of the magnetron, a second coaxial line 25 is axially located on the side of the anode to which connection is made to the cathode 1. The inner conductor 26 of the second coaxial line 25 is provided by the tube 8 and the outer conductor 27 is defined by an insert located in a recess in the iron pole piece 9. The outer conductor has four slots, two 28 and 29 being shown, arranged around it and is surrounded by a cylindrical member of radiation absorbing material 30. The dimensions of the second coaxial line 25 are the same as that of the coaxial line 15 in the output but because there is not the direct coupling from the alternate anode vanes, only a very small proportion of energy in the  $\pi$  mode is coupled into the second coaxial line 25. However, it does receive energy from the  $\pi$ -1 mode which is transmitted along it in the TE<sub>11</sub> waveguide mode. The energy is coupled via the slots 28 and 29 to the absorbing material 30 where it is absorbed.

Reflector slits may also be included on the cathode lead side of the magnetron if desired and

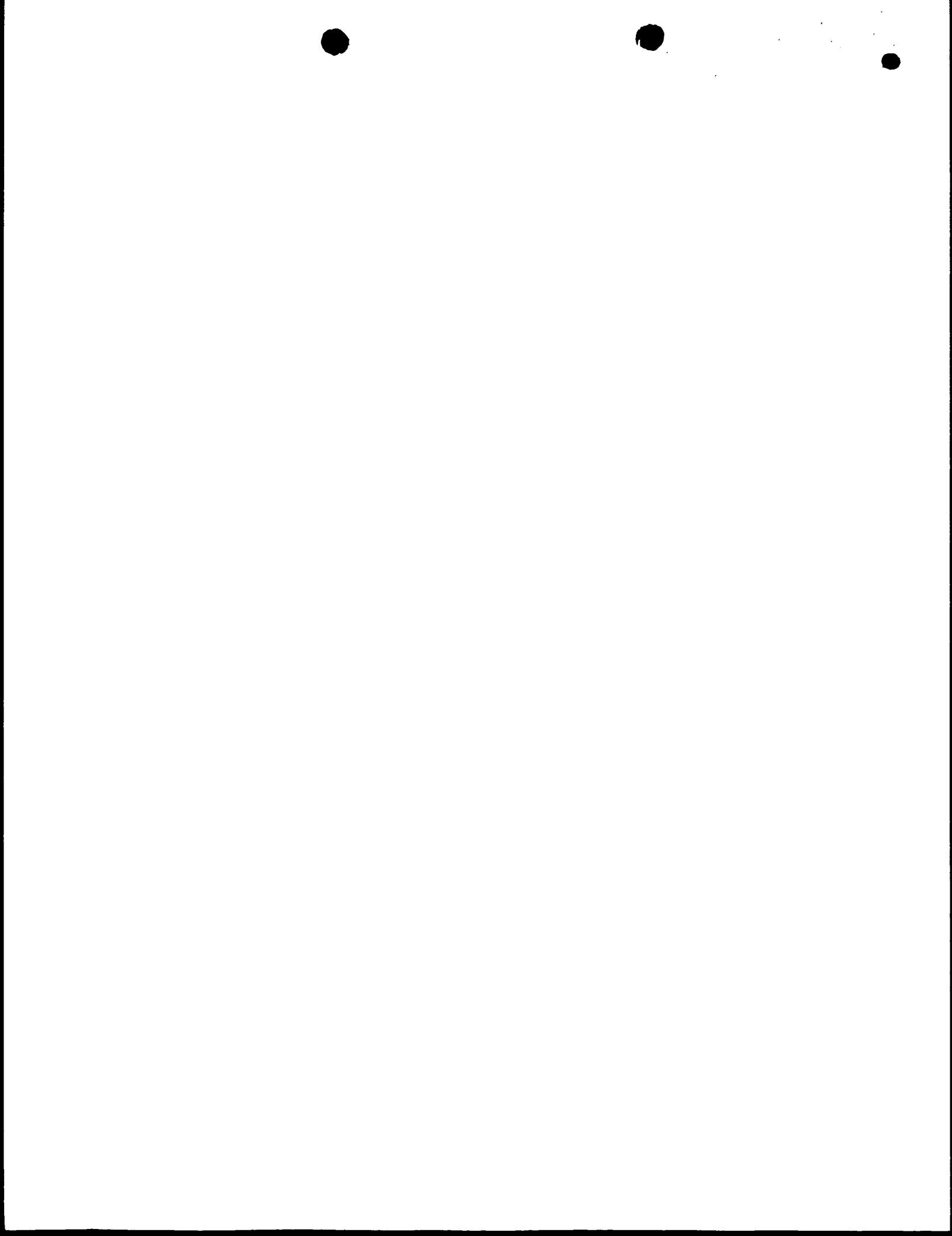


these operate in a similar manner to those shown at 23 and 24, although for mechanical reasons, in this location the reflector slits would be more conveniently located in the outer conductor of the second coaxial line 25.



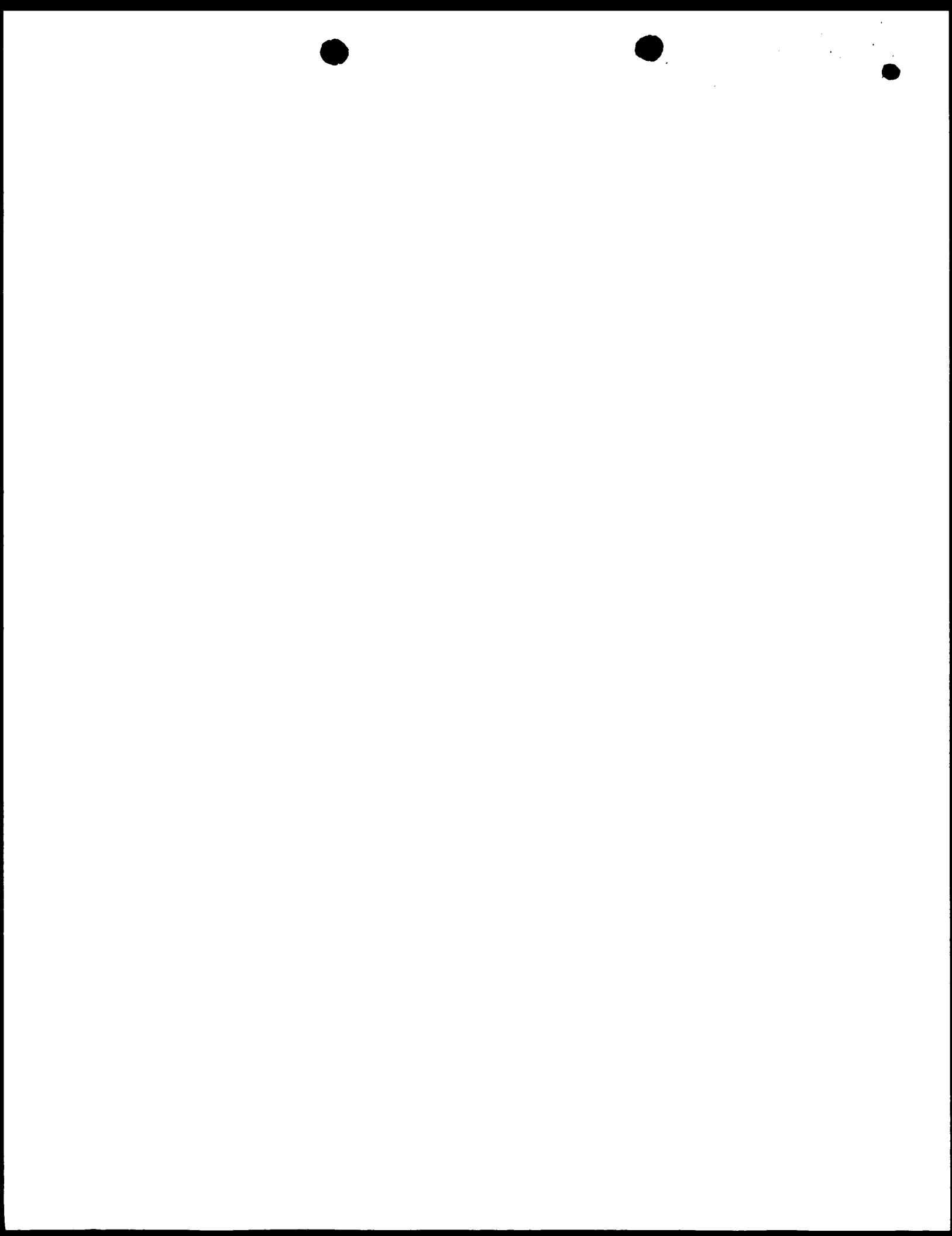
Claims

1. A magnetron comprising: an anode having resonant cavities and coaxially arranged with a cathode about a longitudinal axis; output means including a coaxial line configured to receive energy in one oscillator mode and transmit it as a coaxial waveguide mode and to receive energy in another oscillator mode and transmit it as a cylindrical waveguide mode; and means for at least reducing onward transmission of energy in the cylindrical waveguide mode.
2. A magnetron as claimed in claim 1 wherein the coaxial line is arranged to receive energy coupled in an axial direction from the resonant cavities.
3. A magnetron as claimed in any preceding claim wherein the coaxial line has at least one axially extensive slot through its outer conductor via which energy in the cylindrical waveguide mode is coupled from the coaxial line.
4. A magnetron as claimed in claim 3 and including radiation absorbing material located at said at least one slot to absorb energy radiated by said slot.
5. A magnetron as claimed in claim 4 wherein the absorbing material is porous alumina impregnated with carbon.
6. A magnetron as claimed in any preceding claim wherein said one oscillator mode is the  $\pi$  mode and said another oscillator mode is the  $\pi - 1$  mode.
7. A magnetron as claimed in any preceding claim wherein the coaxial waveguide mode is

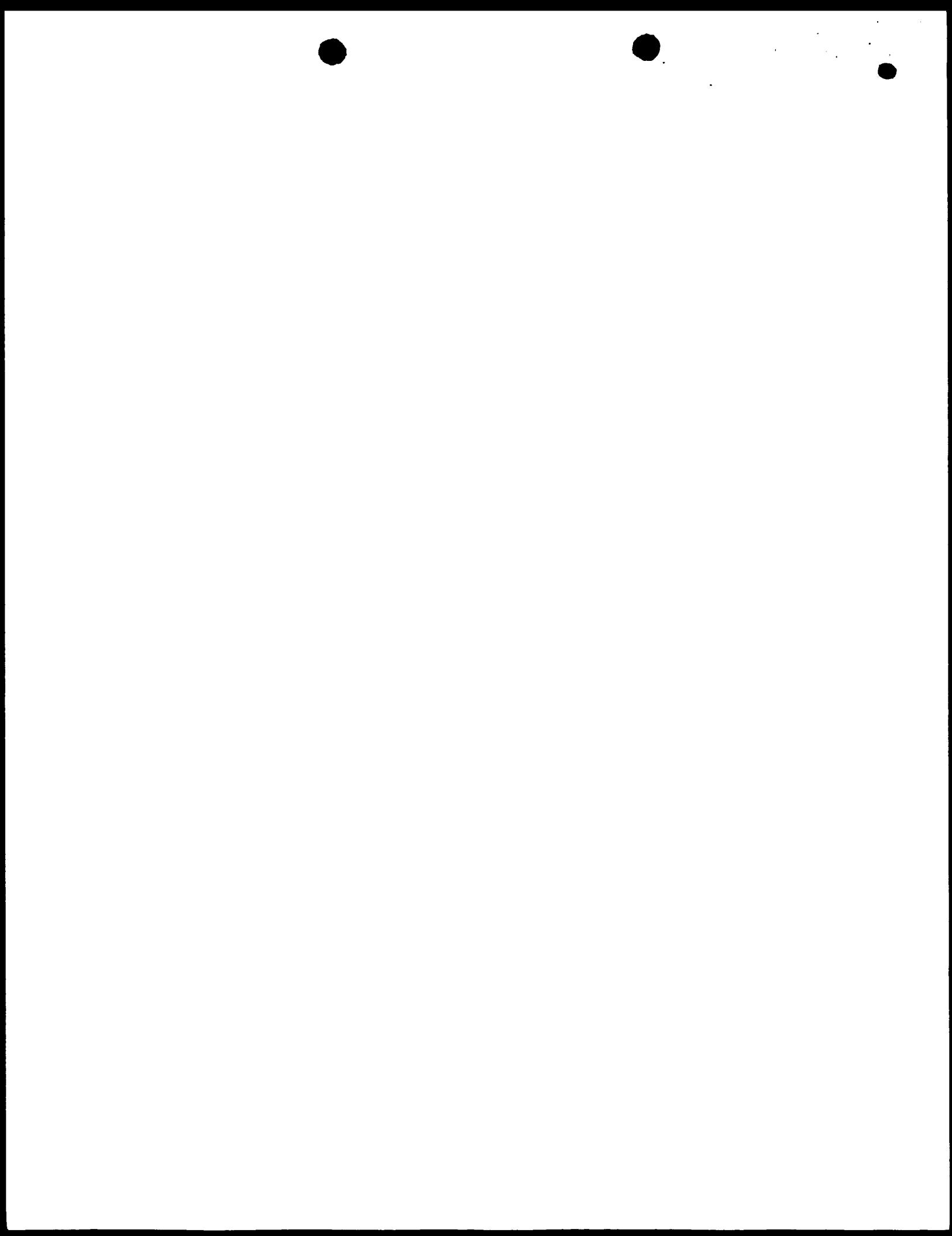


the TEM mode and the cylindrical waveguide mode is the TE<sub>11</sub> mode.

8. A magnetron as claimed in any preceding claim and including at least one axially extensive reflector slit in the output means for reflecting energy from said another oscillator mode back towards the resonant cavities.
9. A magnetron as claimed in claim 8 wherein a reflector slit is located partially or wholly in a region between the resonant cavities and the end of the coaxial line nearest the anode.
10. A magnetron as claimed in claim 8 or 9 wherein a reflector slit is located in the surface of the outer conductor of the coaxial line.
11. A magnetron as claimed in claim 8, 9 or 10 wherein a reflector slit is located in the inner conductor of the coaxial line.
12. A magnetron as claimed in claim 11 wherein the reflector slit in the inner conductor is extensive therethrough.
13. A magnetron as claimed in claim 12 and including two reflector slits in the inner conductor which are extensive therethrough and intersect.
14. A magnetron as claimed in any preceding claim wherein the coaxial line is arranged to deliver energy to a waveguide.

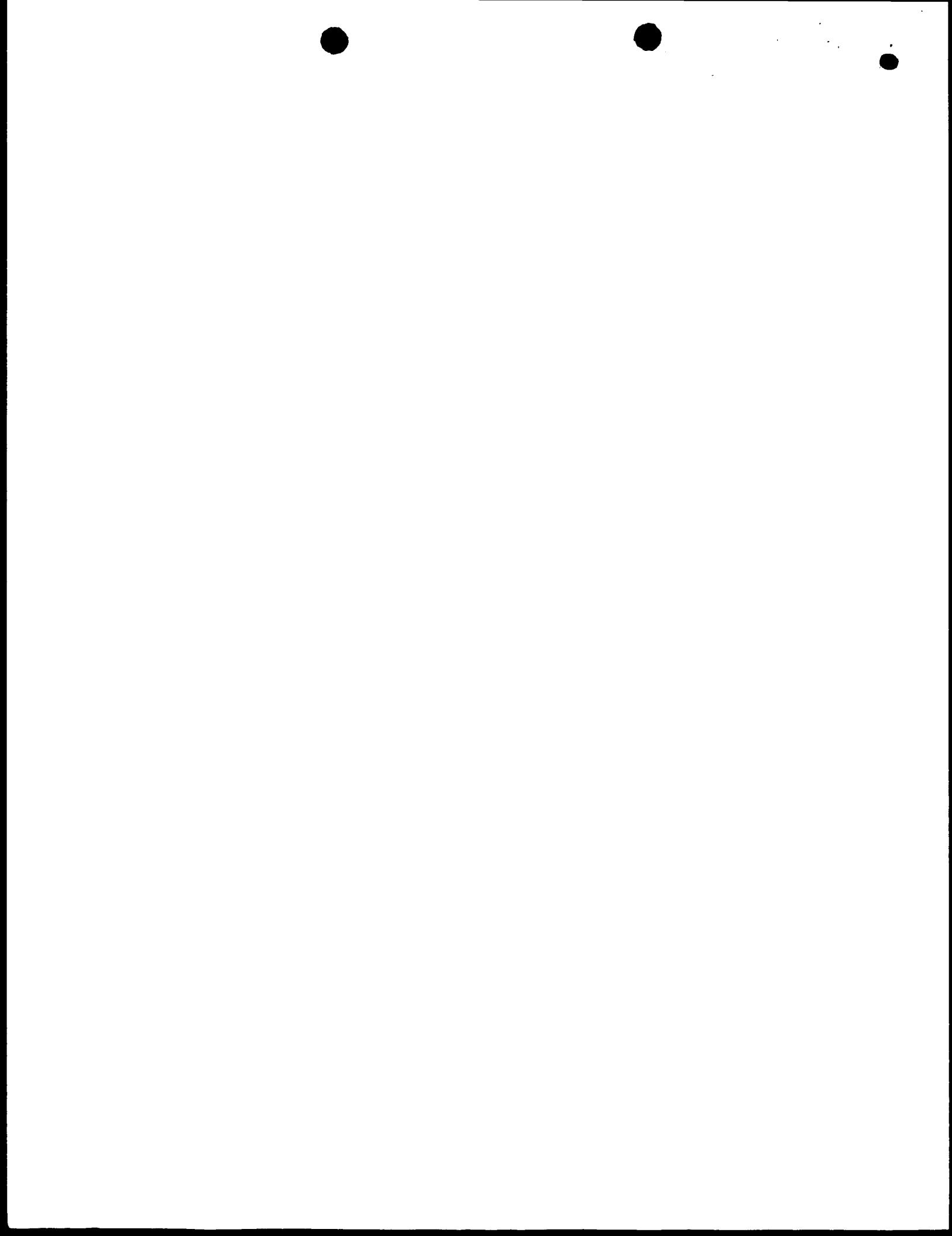


15. A magnetron as claimed in claim 14 wherein the coaxial line terminates in a T-probe.
16. A magnetron as claimed in claim 14 or 15 wherein the coaxial line includes a discontinuity which at least reduces transmission along the coaxial line of energy reflected from the waveguide back towards the anode in a cylindrical waveguide mode.
17. A magnetron as claimed in any preceding claim and including a second coaxial line arranged to receive energy in said another oscillator mode coupled in an axial direction from the end of the anode where the cathode lead is located and transmit it as a cylindrical waveguide mode.
18. A magnetron as claimed in claim 17 and including at least one axially extensive slot via which energy is coupled from the second coaxial line.
19. A magnetron as claimed in claim 18 wherein the said at least one slot is located in the outer conductor of the second coaxial line.
20. A magnetron as claimed in claim 19 and including radiation absorbing material arranged to receive energy coupled from the second coaxial line via said at least one slot.
21. A magnetron as claimed in claim 20 wherein said absorbing material is porous alumina impregnated with carbon.
22. A magnetron as claimed in any one of claims 17 to 21 and including at least one axially



extensive reflector slit in the second coaxial line for reflecting energy from said another oscillator mode back towards the resonant cavities.

23. A magnetron as claimed in any preceding claim wherein the anode has an axial length of greater than  $3\lambda /4$ .
24. A magnetron as claimed in any preceding claim wherein the magnetron is an X-band linac magnetron.
25. A magnetron substantially as illustrated in and described with reference to any one of the accompanying drawings.



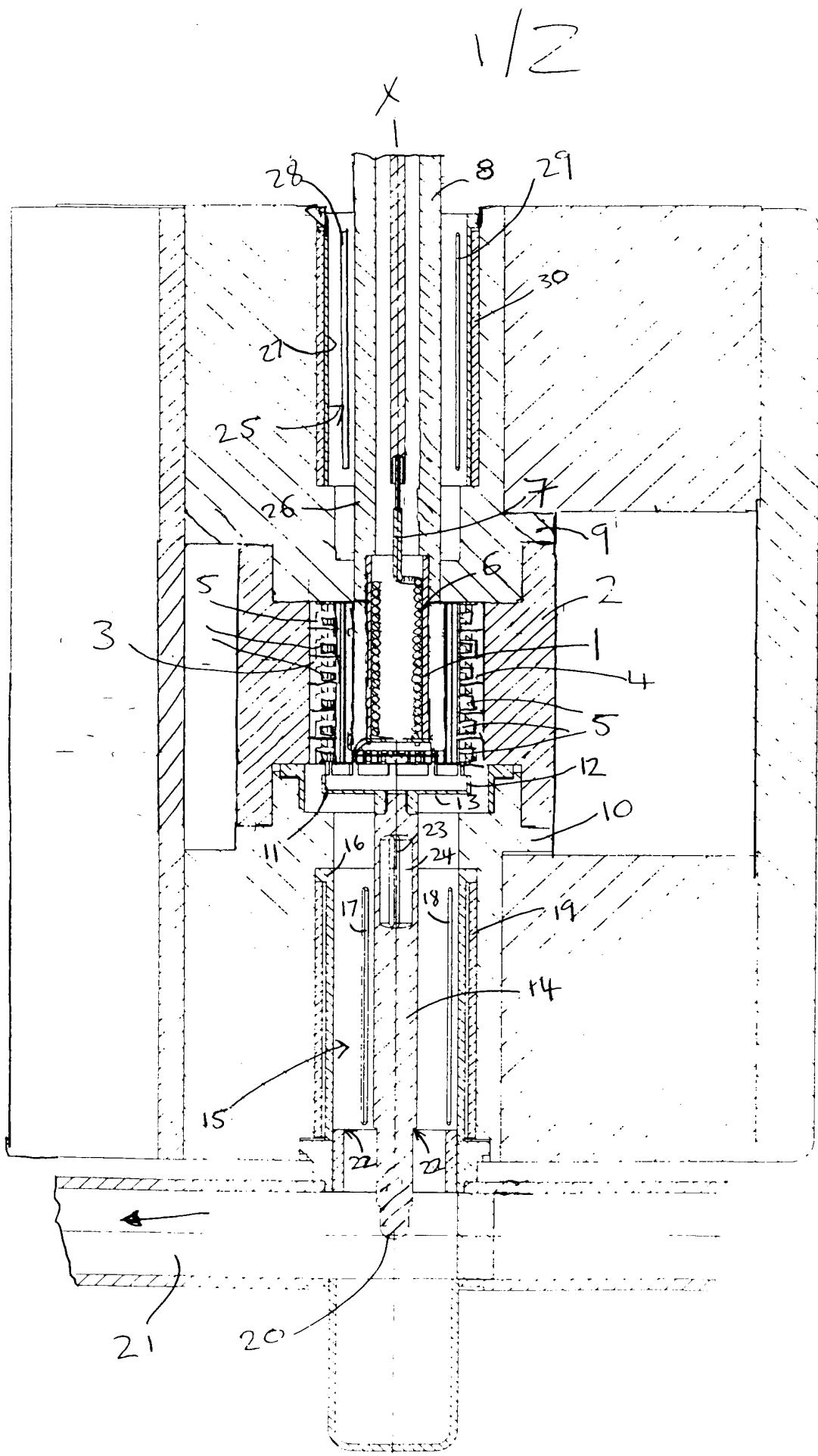
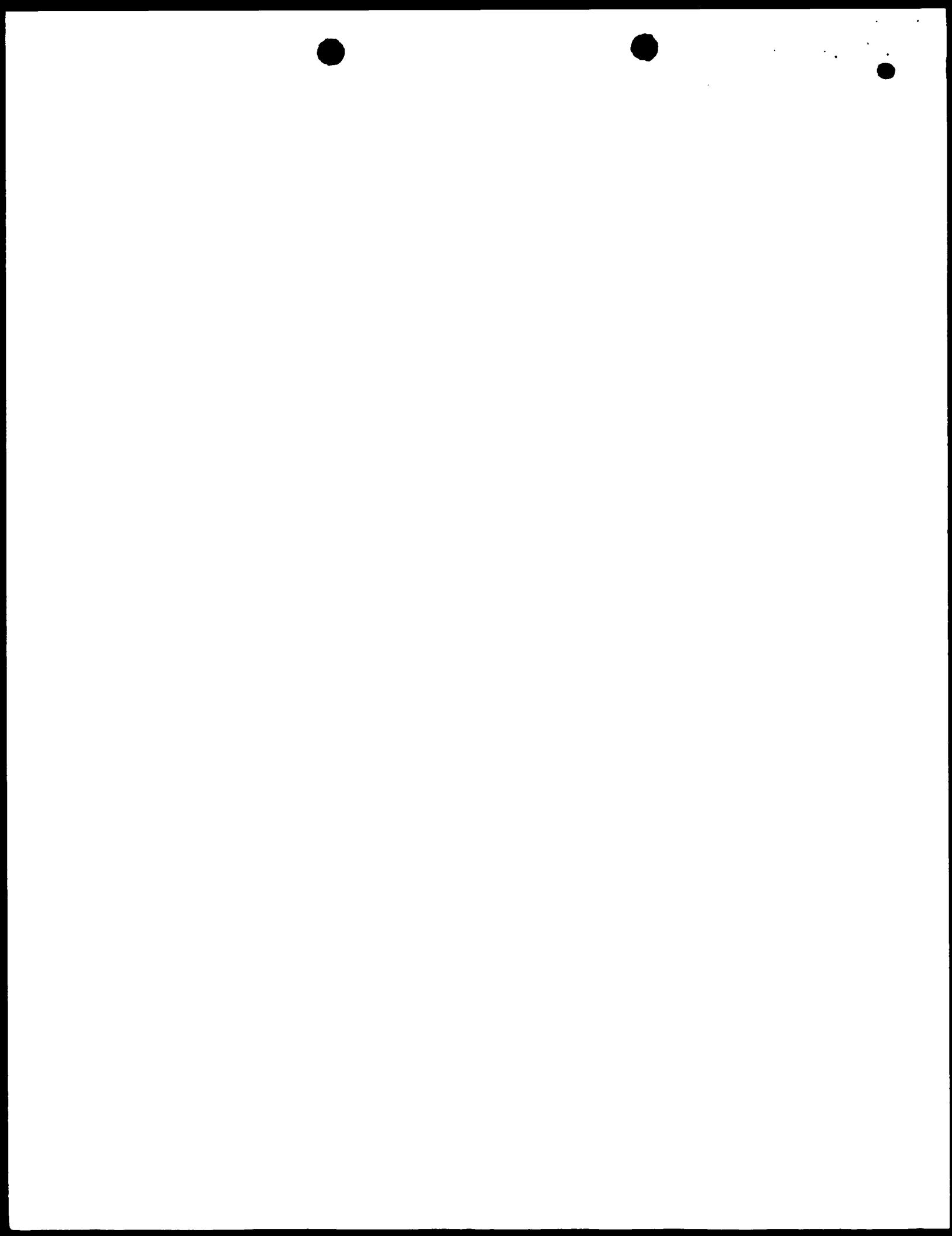
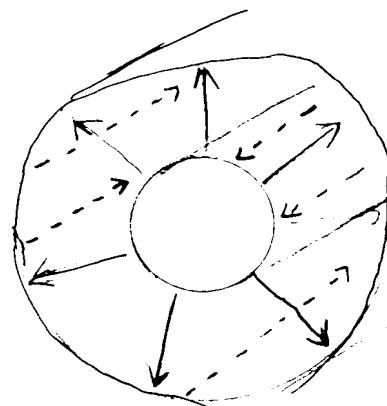
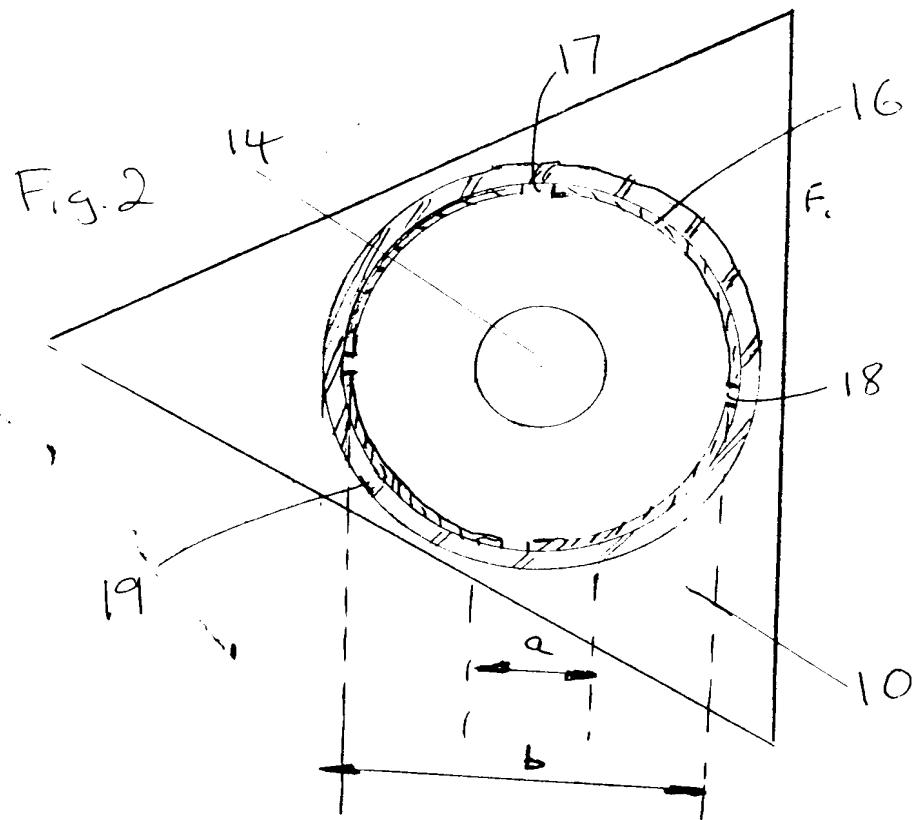


Fig. 1



2/2



T E M MODE  
IN COAXIAL LINE  
— ELECTRIC FIELD —  
— CURRENT — — —

Fig 3

